

04-02-01

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PTO/SB/05 (11-00)

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Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 30914/7:1

First Inventor Uli Behringer

Title AUDIO CIRCUITRY FOR DYNAMIC . . .

Express Mail Label No. EL414489559US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 10]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 3]
 - a. ☒ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
 - b. ☐ (for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- 8 Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 9 ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16 ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application information

Examiner _____

Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label3528
(Insert Customer No. or Attach bar code label here)or ☒ Correspondence address below

| | | | | |
|---------|--|-----------|--------------|------------------|
| Name | Richard B. Preiss | | | |
| Address | Stoel Rives LLP 900 SW Fifth Ave., Suite 2600 | | | |
| City | Portland | State | OR | Zip Code 97204 |
| Country | USA | Telephone | 503.224.3380 | Fax 503.220.2480 |

| | | | |
|-------------------|--------------------------|-----------------------------------|---------|
| Name (Print/Type) | Richard B. Preiss | Registration No. (Attorney/Agent) | 36,640 |
| Signature | <i>Richard B. Preiss</i> | Date | 3/29/01 |

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jc997 U.S. PTO

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03/29/01

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 710.00)

Complete if Known

| | |
|----------------------|-----------------------|
| Application Number | |
| Filing Date | Concurrently herewith |
| First Named Inventor | Uli Behringer |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No | 30914/7:1 |

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **19-4455**

Deposit Account Name **Stoel Rives LLP**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|------------------------|----------|
| 101 710 201 355 | | Utility filing fee | 710 |
| 106 320 206 160 | | Design filing fee | |
| 107 490 207 245 | | Plant filing fee | |
| 108 710 208 355 | | Reissue filing fee | |
| 114 150 214 75 | | Provisional filing fee | |

SUBTOTAL (1) (\$ 710)

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20** = 0 | X 18 | 0 |
| Multiple Dependent | -3** = 0 | X 80 | 0 |

| Large Entity Fee Code | Small Entity Fee Code | Fee Description |
|-----------------------|-----------------------|--|
| 103 18 203 9 | | Claims in excess of 20 |
| 102 80 202 40 | | Independent claims in excess of 3 |
| 104 270 204 135 | | Multiple dependent claim, if not paid |
| 109 80 209 40 | | ** Reissue independent claims over original patent |
| 110 18 210 9 | | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0)

**or number previously paid, if greater For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 105 130 205 65 | | Surcharge - late filing fee or oath | |
| 127 50 227 25 | | Surcharge - late provisional filing fee or cover sheet | |
| 139 130 139 130 | | Non-English specification | |
| 147 2,520 147 2,520 | | For filing a request for ex parte reexamination | |
| 112 920* 112 920* | | Requesting publication of SIR prior to Examiner action | |
| 113 1,840* 113 1,840* | | Requesting publication of SIR after Examiner action | |
| 115 110 215 55 | | Extension for reply within first month | |
| 116 390 216 195 | | Extension for reply within second month | |
| 117 890 217 445 | | Extension for reply within third month | |
| 118 1,390 218 695 | | Extension for reply within fourth month | |
| 128 1,890 228 945 | | Extension for reply within fifth month | |
| 119 310 219 155 | | Notice of Appeal | |
| 120 310 220 155 | | Filing a brief in support of an appeal | |
| 121 270 221 135 | | Request for oral hearing | |
| 138 1,510 138 1,510 | | Petition to institute a public use proceeding | |
| 140 110 240 55 | | Petition to revive - unavoidable | |
| 141 1,240 241 620 | | Petition to revive - unintentional | |
| 142 1,240 242 620 | | Utility issue fee (or reissue) | |
| 143 440 243 220 | | Design issue fee | |
| 144 600 244 300 | | Plant issue fee | |
| 122 130 122 130 | | Petitions to the Commissioner | |
| 123 50 123 50 | | Petitions related to provisional applications | |
| 126 240 126 240 | | Submission of Information Disclosure Stmt | |
| 581 40 581 40 | | Recording each patent assignment per property (times number of properties) | |
| 146 710 246 355 | | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 710 249 355 | | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 710 279 355 | | Request for Continued Examination (RCE) | |
| 169 900 169 900 | | Request for expedited examination of a design application | |

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

SUBMITTED BY

| | | | | | |
|-------------------|--------------------------|-----------------------------------|---------|-----------|--------------|
| Name (Print/Type) | RICHARD B. PREISS | Registration No. (Attorney/Agent) | 36,640 | Telephone | 503.224.3380 |
| Signature | <i>Richard B. Preiss</i> | Date | 3/29/01 | | |

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Application No.:
Filed: Concurrently Herewith
Inventor: Uli Behringer and Jan Duwe
Title: **AUDIO CIRCUITRY FOR DYNAMICS REDUCTION**
Attorney Docket No.: 30914/7:1

CERTIFICATE OF EXPRESS MAILING

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Date of Deposit March 29, 2001

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20231


Signature

SANDRA LEKAR

Typed or printed name of person signing
certificate

Attachment:

- [X] Utility Patent Application Transmittal, 10 pages of specification and claims, 3 sheets of drawings, Combined Declaration and Power of Attorney for Patent Application, Patent Application Fee Determination Record, Fee Transmittal (in duplicate), Data Sheet, check for \$710 in payment of filing fee, Information Disclosure Statement, PTO-1449 (with reference) and return receipt postcard.